








Appendix 1

The Table Below details the status of Management Actions identified in the 2015/16 & 2016/17 Audit Plans

Name of Audit	Quarter 2 2017/18						DOT	Audit Sponsor
	High Level Actions not Completed		Medium Level Actions not Completed		Total of Actions not Completed	Action Completed in the last Quarter		
	No Progress	Partial	No Progress	Partial				
Adult Safeguarding 11 15 16	0	0	0	1	1	0		Alan Sinclair
Business Continuity Planning Arrangements 1 16 17	0	1	2	0	3	2		Mike England
Matrix - Management of Agency Staff 7 15 16	1	1	1	1	4	0		Roger Parkin
Budgetary Control and Financial Reporting 10 16 17	0	0	0	0	All Actions Complete	0		Neil Wilcox
Information Governance 31617	2	0	10	2	14	1		Roger Parkin



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Name of Audit	High Level Actions not Completed		Medium Level Actions not Completed		Total of Actions not Completed	Action Completed in the last Quarter	DOT	Audit Sponsor
	No Progress	Partial	No Progress	Partial				
Budget Setting 41617	0	0	01	0	0	1		Neil Wilcox
School Financial Value Standard 51617	0	0	2	1	2	2		Neil Wilcox
Voids 61617	0	1	0	0	2	1		Mike England
Fixed Penalty Notice 91617	0	0	0	1	All Actions Complete	2		Mike England
Capital Expenditure 121617	0	0	0	0	All Actions Complete	1		Mike England
Rent Accounts 131617	0	0	2	0	2	0		Neil Wilcox

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Name of Audit	High Level Actions not Completed		Medium Level Actions not Completed		Total of Actions not Completed	Action Completed in the last Quarter	DOT	Audit Sponsor
	No Progress	Partial	No Progress	Partial				
Transparency Code 151617	0	0	1	1	2			Strategy & Engagement
Risk Management 171617	0	1	2	1	4	3		Neil Wilcox
General Ledger 201617	1	0	3	0	4	0		Neil Wilcox
Health & Safety 211617	0	0	2	3	5	0		Roger Parkin
Cash handling 201617	0	0	0	1	1	1		Neil Wilcox
Creditors 261617	0	0	0	2	2	0		Neil Wilcox

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Name of Audit	High Level Actions not Completed		Medium Level Actions not Completed		Total of Actions not Completed	Action Completed in the last Quarter	DOT	Audit Sponsor
	No Progress	Partial	No Progress	Partial				
Asset Register 291617	0	0	1	0	1	1		Neil Wilcox
Procurement 321617	0	0	0	1	1	1		Neil Wilcox